IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John Bunda

Serial No. 10/014,948

Filed: October 26, 2001

For: METHOD AND SYSTEM FOR

MANAGING DISPLAY OF QUOTES

FOR SECURITIES FROM MULTIPLE SOURCES

Examiner: Unknown

Group Art Unit: 2164

Atty. Dkt. 5226-02700

Certificate of Mailing 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date

Date Jackie L. Pitre

RECEIVED

INFORMATION DISCLOSURE STATEMENT

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APR 1 0 2002

Technology Center 2100

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant respectfully requests that the Examiner consider the following U.S. Patent applications: 09/460,045, 09/629,657, 09/629,356, 09/676,019, 09/876,270, 09/866,500, 09/885,817, 09/885,865, 09/970,078 and 09/934,448. A copy of each of the above-referenced applications is enclosed for the convenience of the Examiner. Each of the above-referenced patent applications is to be considered as "Trade Secret" materials. As such, each of the above-referenced applications has been sealed and clearly marked as "Trade Secret" materials. The enclosed applications are <u>not</u> open to the public. The enclosed patent applications are to be opened only by an Examiner or other authorized Patent and Trademark Office employees.

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. A copy of each listed document is enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5226-02700/EBM.

Respectfully submitted,

Eric B. Meyertons

Reg. No. 34,876

Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C. P.O. BOX 398

AUSTIN, TEXAS 78767-0398

(512) 703-125A

Date:

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ATTY, DOCKET NO: 5226-02700 SERIAL NO: 10/014,948 Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if nee APPLICANT: John Bunda APR 0 9 2002 FILING DATE: October 26, 2001 GROUP: 2164 U.S. PATENT DOCUMENTS EXAM. REF. **DOCUMENT** CLASS SUB FILING DATE IF DATE NAME **APPROPRIATE INITIALS** NUMBER **CLASS** DES 4,674,044 6/1987 **B**1 Kalmus, et al. 5,347,452 9/1994 B2 Bay, Jr. В3 5,787,402 7/1998 Potter, et al. Technology Center 2100 **B4** 9/1999 Keiser, et al. 5,950,176 6,014,643 **B**5 1/2000 Minton 6.016.483 1/2000 Rickard, et al. B6 7/2001 C1 6,260,025 Silverman, et al. C2 12/1999 6,006,206 Smith, et al. C3 5,987,432 11/1999 Zusman, et al. FOREIGN PATENT DOCUMENTS CLASS TRANSLATION. SUB EXAM. REF. DOCUMENT NUMBER DATE COUNTRY YES/NO **CLASS** INITIALS DES OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Press Release, "3DStockCharts.com Teams Up With ECN Industry Leader," October 1999, **B7** pp. 1-2. "Real Tick III Tutorial," © 1999 The Momentum Trader and Swingtrader.net, 6 pgs. **B8** "Using the Order Entry Screen in Real Tick III," January 1999, pp. 1-2. **B9** 1 AA Stand & Poor's ComStock, "Xpresso," 5 pages, © 1998 Standard & Poor's ComStock

EXAMINER:

communication to the patent owner.

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DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line

through citation if not in conformance and not considered. Include copy of this form with next

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	C13	"gr8trade from Protrader User's Manual," Protrader Securities Corporation, pp.1-69.; 3/2000							
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